

R4-6-212. Clinical Supervision Requirements

- A. The Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision meets the requirements specified in R4-6-404, R4-6-504, R4-6-604, or R4-6-706, as applicable to the license for which application is made, and was provided by one of the following:
1. A clinical social worker, professional counselor, independent marriage and family therapist, or independent substance abuse counselor who:
 - a. Holds an active and unrestricted license issued by the Board, and
 - b. Has complied with the educational requirements specified in R4-6-214;
 2. A mental health professional who holds an active and unrestricted license issued under A.R.S. Title 32, Chapter 19.1 as a psychologist and has complied with the educational requirements specified in R4-6-214; or
 3. An individual who:
 - a. Holds an active and unrestricted license to practice behavioral health,
 - b. Is providing behavioral health services in Arizona:
 - i. Under a contract or grant with the federal government under the authority of 25 U.S.C. § 450-450(n) or § 1601-1683, or
 - ii. By appointment under 38 U.S.C. § 7402 (8-11), and
 - c. Has complied with the educational requirements specified in R4-6-214.
- B. Unless an exemption was obtained under R4-6-212.01, the Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision was provided by an individual who:
1. Was qualified under subsection (A), and
 2. Was employed by the behavioral health entity at which the applicant obtained hours of clinical supervision.
- C. The Board shall accept hours of clinical supervision submitted by an applicant if the clinical supervision includes all of the following:
1. Reviewing ethical and legal requirements applicable to the supervisee's practice, including unprofessional conduct as defined in A.R.S. § 32-3251;
 2. Monitoring the supervisee's activities to verify the supervisee is providing services safely and competently;
 3. Verifying in writing that the supervisee provides clients with appropriate written notice of clinical supervision, including the means to obtain the name and telephone number of the supervisee's clinical supervisor;
 4. Contemporaneously written documentation by the clinical supervisor of at least the following for each clinical supervision session:
 - a. Date and duration of the clinical supervision session;
 - b. Description of topics discussed. Identifying information regarding clients is not required;

- c. Beginning on July 1, 2006, name and signature of the individual receiving clinical supervision;
- d. Name and signature of the clinical supervisor and the date signed; and
- e. Whether the clinical supervision occurred on a group or individual basis;
5. Maintaining the documentation of clinical supervision required under subsection (C)(4) for at least seven years;
6. Verifying that no conflict of interest exists between the clinical supervisor and the supervisee's clients;
7. Verifying that clinical supervision was not acquired:
 - a. From a family member or other individual whose objective assessment of the supervisee's performance may be limited by a relationship with the supervisee; or
 - b. In a professional setting in which the supervisee has an ownership interest or operates or manages.
8. Conducting on-going compliance review of the supervisee's clinical documentation to ensure the supervisee maintains adequate written documentation;
9. Providing instruction regarding:
 - a. Assessment,
 - b. Diagnosis,
 - c. Treatment plan development, and
 - d. Treatment;
10. Rating the supervisee's overall performance as at least satisfactory, using a form approved by the Board; and
11. Complying with the discipline-specific requirements in Articles 4 through 7 regarding clinical supervision.

D. The Board shall accept hours of clinical supervision submitted by an applicant for licensure if:

1. At least two hours of the clinical supervision were provided in a face-to-face setting during each six-month period;
2. No more than 90 hours of the clinical supervision were provided by videoconference and telephone.
3. No more than 15 of the 90 hours of clinical supervision provided by videoconference and telephone were provided by telephone; and
4. Each clinical supervision session was at least 30 minutes long.

E. Effective July 1, 2006, the Board shall accept hours of clinical supervision submitted by an applicant if at least 10 of the hours involve the clinical supervisor observing the supervisee providing treatment and evaluation services to a client. The clinical supervisor may conduct the observation:

1. In a face-to-face setting,
2. By videoconference,
3. By teleconference, or
4. By review of audio or video recordings.

- F.** The Board shall accept hours of clinical supervision submitted by an applicant from a maximum of six clinical supervisors.

- G.** The Board shall accept hours of clinical supervision obtained by an applicant in both individual and group sessions, subject to the following restrictions:
 - 1. At least 25 of the clinical supervision hours involve individual supervision, and
 - 2. Of the minimum 100 hours of clinical supervision required for licensure, the Board may accept:
 - a. Up to 75 of the clinical supervision hours involving a group of two supervisees; and
 - b. Up to 50 of the clinical supervision hours involving a group of three to six supervisees.

- H.** If an applicant provides evidence that a catastrophic event prohibits the applicant from obtaining documentation of clinical supervision that meets the standard specified in subsection (C), the Board may consider alternate documentation.