1	BEFORE THE ARIZONA STATE BOARD OF BEHAVIORAL HEALTH EXAMINERS	
2	In the Matter of:	
3	Lindsay P. Taylor, LMSW-18558, Licensed Master Social Worker, In the State of Arizona.	CASE NO. 2022-0172 RELEASE FROM
5	RESPONDENT	CONSENT AGREEMENT AND ORDER
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7	The Board received a request from Respondent to release them from the terms an	
8	conditions of the Consent Agreement and Order dated July 12th, 2022. After consideration, th	
9	Board voted to release Respondent from the terms and conditions of the Consent Agreemer	
10	and Order dated July 12 th , 2022.	
11	ORDER	
12	GOOD CAUSE APPEARING, IT IS THEREFORE ORDERED THAT:	
	Respondent is hereby released from all terms and conditions of the Consent Agreemer	
13	and Order dated July 12 th , 2022.	
14 15	By: Mrli Zarola_	<u>Jan 17, 2023</u>
16	TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Exar	Date niners
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18	ORIGINAL of the foregoing filed Jan 17, 2 with:	023
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20	Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007	
21	EXECUTED COPY of the foregoing sent electronically Jan 17, 2023 to:	
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23	Lindsay P. Taylor Address of Record Respondent	
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BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Lindsay P. Taylor, LMSW-18558, Licensed Master Social Worker, In the State of Arizona. CASE NO. 2022-0172
CONSENT AGREEMENT

RESPONDENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Lindsay P. Taylor ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

- Respondent is the holder of License No. LMSW-18558 for the practice of Social Work in the State of Arizona.
- 2. On 05/20/22, Respondent's supervisor ("Supervisor") emailed the Board that he began supervising Respondent in her own private practice without prior Board approval.
- 3. Supervisor represented he made a mistake of forgetting about the approval process for private practice supervision.
- 4. Pursuant to A.A.C. R4-6-210 and A.A.C. R4-6-211, an associate level licensee may not engage in the independent practice of behavioral health unless pre-approved by the Board.
- 5. On 05/23/22, Respondent submitted a request for approval of clinical supervisor for the Board's Supervised Private Practice with Supervisor being proposed as the supervisor.

- 6. Furthermore, pursuant to A.A.C. R4-6-211, the supervisor must be licensed in the same discipline as the supervisee.
- 7. Supervisor is licensed as an LPC and Respondent is licensed as an LMSW, which means Supervisor would not have been approved to supervise Licensee's private practice due to being licensed in completely different disciplines.
- 8. Respondent represented she made the mistake of expecting Supervisor to know the requirements of supervision without doing her own research.
- 9. Respondent assumed supervision requirements would be the same as working for an agency.
- 10. Following the conversation with Board staff and Supervisor on 05/26/22, Respondent immediately found a new supervisor and was approved for Supervised Private practice on 06/06/22.
- 11. From 02/22 06/22, Respondent treated clients through her private practice without prior Board approval as required by Board rules.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq. and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(ii), violating any federal or state law, rule or regulation applicable to the practice of behavioral health, as it relates to the following:

A.A.C. R4-6-210. Practice Limitations

A.A.C. R4-6-211. Direct Supervision: Supervised Work Experience: General

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<u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

- Respondent's license, LMSW-18558, will be placed on probation for 12 months, effective from the date of entry as signed below.
- 2. Respondent shall not practice under their license, LMSW-18558, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

Continuing Education

- 4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of the NASW Staying Out of Trouble continuing education course or an equivalent course addressing current behavioral health documentation standards in Arizona. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock

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hours of continuing education in opening a private practice. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

- 6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete the Arizona Statutes/Regulations Tutorial. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 7. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete the Arizona Clinical Supervision Tutorial. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

Early Release

8. After completion of the continuing education requirements set forth in this Consent Agreement, Respondent may request early release from the Consent Agreement if all other terms of the Consent Agreement have been met.

GENERAL PROVISIONS

Provision of Clinical Supervision

 Respondent shall not provide clinical supervision while subject to this Consent Agreement.

Civil Penalty

10. Subject to the provisions set forth in paragraph 11, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.

- 11. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 12. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 13. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.
- 14. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 15 through 17 below.

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- 15. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 16. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 17. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health

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related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 18. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 19. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated that they have addressed the issues contained in this Consent Agreement. In the event that the Board determines that any or all terms and conditions of this Consent Agreement have not been met, the Board may conduct such further proceedings as it determines are appropriate to address those matters.
- Respondent shall bear all costs relating to probation terms required in this Consent Agreement.

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2 this Consent Agreement is provided to the Board in a timely manner. 3 22. This Consent Agreement shall be effective on the date of entry below. 4 23. This Consent Agreement is conclusive evidence of the matters described herein 5 and may be considered by the Board in determining appropriate sanctions in the event a 6 subsequent violation occurs. 7 PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT 8 Lindsau Taulor Jul 12, 2022 9 indsay Taylor (Jul 12, 2022 15:39 PDT) Lindsay P. Taylor Date 10 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT** 11 Jul 12, 2022 12 By: 13 TOBI ZAVALA, Executive Director Date Arizona Board of Behavioral Health Examiners 14 Jul 12, 2022 15 **ORIGINAL** of the foregoing filed with: 16 17 Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007 18 Jul 12, 2022 **EXECUTED COPY** of the foregoing sent electronically 19 20 Mona Baskin **Assistant Attorney General** 21 2005 North Central Avenue Phoenix, AZ 85004 22 Lindsay P. Taylor 23 Address of Record Respondent 24

Respondent shall be responsible for ensuring that all documentation required in

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