

1 **BEFORE THE ARIZONA BOARD**
2 **OF BEHAVIORAL HEALTH EXAMINERS**

3 **In the Matter of:**

4 **Roberta J. Appleton, LAC-17650,**
5 **Licensed Associate Counselor,**
6 **In the State of Arizona.**

7 **Respondent**

CASE NO. 2020-0124
INTERIM CONSENT AGREEMENT

8 By mutual agreement and understanding, between the Arizona State Board of
9 Behavioral Health Examiners (“Board”) and Roberta J. Appleton (“Respondent”), the parties
10 enter into this Interim Consent Agreement, Findings of Fact, Conclusions of Law and Order
11 (“Interim Consent Agreement”) as an interim disposition of this matter.

12 **RECITALS**

13 Respondent understands and agrees that:

14 1. The Board may adopt this Interim Consent Agreement, or any part thereof,
15 pursuant to A.R.S. § 32-3251 *et seq.* and A.R.S. § 41-1092.07(F)(5).

16 2. Respondent has read and understands this Interim Consent Agreement as set
17 forth herein, and has had the opportunity to discuss this Interim Consent Agreement with an
18 attorney or has waived the opportunity to discuss this Interim Consent Agreement with an
19 attorney. Respondent voluntarily enters into this Interim Consent Agreement and by doing so
20 agrees to abide by all of its terms and conditions.

21 3. By entering into this Interim Consent Agreement, Respondent freely and
22 voluntarily relinquishes all rights to an administrative hearing on the matters set forth herein, as
23 well as all rights of rehearing, review, reconsideration, appeal, judicial review or any other
24 administrative and/or judicial action, concerning the matters related to the Interim Consent
25 Agreement.

1 4. Respondent understands that this Interim Consent Agreement does not
2 constitute a dismissal or resolution of this matter or any matters that may be currently pending
3 before the Board and does not constitute any waiver, express or implied, of the Board's
4 statutory authority or jurisdiction regarding any other pending or future investigations, actions,
5 or proceedings. Respondent also understands that acceptance of this Interim Consent
6 Agreement does not preclude any other agency, subdivision, or officer of this State from
7 instituting civil or criminal proceedings with respect to the conduct that is the subject of this
8 Interim Consent Agreement. Respondent does not intend their acceptance of this Interim
9 Consent Agreement to constitute an admission of any fact or facts and they enter into this
10 agreement as an interim compromise of a pending matter. Respondent further does not
11 relinquish their rights to an administrative hearing, rehearing, review, reconsideration, judicial
12 review or any other administrative and/or judicial action, concerning the matters related to a
13 final disposition of this matter, unless they affirmatively does so as part of the final resolution of
14 this matter.

15 5. Respondent acknowledges and agrees that upon signing this Interim Consent
16 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their
17 acceptance of this Interim Consent Agreement or make any modifications to it. Any
18 modification of this original document is ineffective and void unless mutually approved by the
19 parties in writing.

20 6. Respondent understands that this Interim Consent Agreement shall not become
21 effective unless and until it is adopted by the Board and signed by its Executive Director.

22 7. Respondent understands and agrees that if the Board does not adopt this
23 Interim Consent Agreement, they will not assert in any future proceedings that the Board's
24 consideration of this Interim Consent Agreement constitutes bias, prejudice, prejudgment, or
25 other similar defense.

1 8. Respondent understands that this Interim Consent Agreement is a public record
2 that may be publicly disseminated as a formal action of the Board, and that it shall be reported
3 as required by law to the National Practitioner Data Bank.

4 9. Respondent understands that this Interim Consent Agreement does not alleviate
5 their responsibility to comply with the applicable license-renewal statutes and rules. If this
6 Interim Consent Agreement remains in effect at the time Respondent's behavioral health
7 licenses come up for renewal, they must renew their license(s) if Respondent wishes to retain
8 their license(s). If Respondent elects not to renew their license(s) as prescribed by statute and
9 rule, Respondent's license(s) will not expire but rather, by operation of law (A.R.S. § 32-3202),
10 become suspended until the Board takes final action in this matter. Once the Board takes final
11 action, in order for Respondent to be licensed in the future, they must submit a new application
12 for licensure and meet all of the requirements set forth in the statutes and rules at that time.

13 10. Respondent understands that any violation of this Interim Consent Agreement
14 constitutes unprofessional conduct under A.R.S. § 32-3251(16)(n), violating a formal order,
15 consent agreement, term of probation or stipulated agreement, and may result in disciplinary
16 action under A.R.S. § 32-3281.

17 Respondent understands and agrees that:

18 **INTERIM FINDINGS OF FACT**

19 1. The Board is the duly constituted authority for licensing and regulating the
20 practice of counseling in the State of Arizona.

21 2. Respondent is the holder of License No. LAC-17650.

22 3. Respondent agrees to voluntarily enter into this interim consent agreement while
23 she tends to her substance abuse recovery efforts.

24 **INTERIM CONCLUSIONS OF LAW**

25 1. The Board possesses subject matter and personal jurisdiction over Respondent
pursuant to A.R.S. § 32-3251 *et seq.*

1 2. The Board is authorized to enter into an interim consent agreement with a
2 licensed associate counselor to limit or restrict the professional's practice in order to protect the
3 public and ensure that the professional is able to safely engage in the practice of counseling
4 pursuant to A.R.S. § 32-3281.

5 **INTERIM ORDER**

6 Based on the Interim Findings of Fact and Interim Conclusions of Law, and pursuant to
7 the authority granted to the Board under A.R.S. § 32-3281:

8 **IT IS HEREBY ORDERED** that Respondent shall not practice under their license until
9 such time as they submit a written request for the reinstatement of their license to the Board and
10 the Board affirmatively approves Respondent's request for reinstatement. The Board may, in its
11 discretion, require any combination of staff-approved physical, psychiatric, or psychological
12 examinations, or other types of examinations, evaluations or interviews it believes are
13 necessary to assist the Board in determining whether Respondent is able to safely and
14 competently return to the practice of counseling. The Board's affirmative approval to permit
15 Respondent to return to practicing under their license shall not preclude the Board from taking
16 any other action it deems appropriate based upon the conduct set forth in the Interim Findings
17 of Fact.

18 Respondent's agreement not to practice under License No. LAC-17650 will be
19 considered an interim suspension of their license.

20
21 **PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

22 
Roberta J. Appleton (May 1, 2020)

Roberta J. Appleton

May 1, 2020

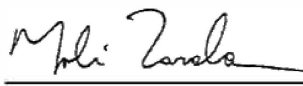
Date

24 ...

25 ...

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25

BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

By: 

May 11, 2020

TOBI ZAVALA, Executive Director
Arizona Board of Behavioral Health Examiners

Date

ORIGINAL of the foregoing filed May 11, 2020
with:

Arizona Board of Behavioral Health Examiners
1740 West Adams Street, Suite 3600
Phoenix, AZ 85007

EXECUTED COPY of the foregoing sent electronically May 11, 2020
to:

Marc Harris
Assistant Attorney General
2005 North Central Avenue
Phoenix, AZ 85004

Roberta J. Appleton
Address of Record
Respondent