

1 4. Respondent acknowledges and agrees that upon signing this Consent
2 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their
3 acceptance of this Consent Agreement or make any modifications to it. Any modification of this
4 original document is ineffective and void unless mutually approved by the parties in writing.

5 5. The findings contained in the Findings of Fact portion of this Consent Agreement
6 are conclusive evidence of the facts stated herein and may be used for purposes of determining
7 sanctions in any future disciplinary matter.

8 6. This Consent Agreement is subject to the Board's approval, and will be effective
9 only when the Board accepts it. In the event the Board in its discretion does not approve this
10 Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,
11 nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except
12 that Respondent agrees that should the Board reject this Consent Agreement and this case
13 proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its
14 review and discussion of this document or of any records relating thereto.

15 7. Respondent understands that once the Board approves and signs this Consent
16 Agreement, it is a public record that may be publicly disseminated as a formal action of the
17 Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

18 8. Respondent further understands that any violation of this Consent Agreement
19 constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in
20 disciplinary action pursuant to A.R.S. § 32-3281.

21 9. The Board therefore retains jurisdiction over Respondent and may initiate
22 disciplinary action against Respondent if it determines that they have failed to comply with the
23 terms of this Consent Agreement or of the practice act.

24 The Board issues the following Findings of Fact, Conclusions of Law and Order:

25 ...

1 FINDINGS OF FACT

2 1. Respondent is the holder of License No. LCSW-1535 for the practice of social
3 work in Arizona.

4 2016-0001

5 2. Respondent provided behavioral health services to a minor female client
6 ("Daughter") following a report by her mother ("Mother") to the Army Criminal Investigative
7 Division.

8 3. On 12/17/13, Respondent was notified of allegations of sexual abuse of Daughter
9 involving Daughter's father ("Father").

10 4. On 12/18/13, Respondent contacted the Department of Child Safety ("DCS") and
11 reported the allegations.

12 5. On 01/07/14, Respondent's clinical record for Daughter reflects that Respondent
13 provided additional information to DCS.

14 6. On at least 3 occasions following Respondent's last documented phone call to
15 DCS, Respondent received additional, explicit details from Mother and/or Daughter regarding
16 the sexual abuse allegations.

17 7. On 02/17/14, Respondent documented that Daughter was forensically
18 interviewed by police, but because she did not disclose enough, police declined to investigate
19 the matter.

20 8. Although Respondent may have initially reported the allegations of sexual abuse
21 at the onset of treatment, this does not appear to alleviate Respondent from continuing to report
22 when new or significant information is received.

23 9. Throughout the duration of treatment, Respondent acknowledges only having
24 one conversation with Father in 03/14.

25 10. On 04/09/15, Respondent sent a letter to Father and a judge associated with the

1 case indicating that it was not in Daughter's best interest to see Father even in a supervised
2 setting.

3 11. Respondent's letter appears problematic because:

- 4 a. There is no evidence to suggest that the judge requested information
5 from Respondent.
- 6 b. Respondent acknowledges having only one conversation with Father over
7 one year prior to authoring the letter.
- 8 c. Police had not found enough information to establish that Father
9 perpetrated the sexual abuse.
- 10 d. The letter contained disparaging and unsubstantiated statements about
11 Father.

12 **2015-0082**

13 12. Respondent provided individual and marital counseling to a husband ("Husband")
14 and wife ("Wife").

15 13. Wife filed a complaint with the Board alleging unprofessional conduct by
16 Respondent.

17 14. Upon receiving notification of the complaint, Respondent shared details of the
18 complaint with Husband, and Husband volunteered to write a letter to the Board on
19 Respondent's behalf.

20 15. Although Husband volunteered to write the letter, there was no therapeutic basis
21 for Respondent to share any details of her Board complaint with Husband.

22 **CONCLUSIONS OF LAW**

23 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.*
24 and the rules promulgated by the Board relating to Respondent's professional practice as a
25 licensed behavioral health professional.

1 PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

2 Judith L. Pike
3 Judith L. Pike

13 September 2017
Date

4 BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

5 Dated this 11th day of October, 2017.

6
7 By: M. Zavalala
8 TOBI ZAVALA, Executive Director
9 Arizona Board of Behavioral Health Examiners

10 ORIGINAL of the foregoing filed
11 This 11th day of October, 2017 with:

12 Arizona Board of Behavioral Health Examiners
13 3443 N. Central Ave., Suite 1700
14 Phoenix, AZ 85012

15 COPY of the foregoing mailed via Interagency Mail
16 This 11th day of October, 2017, to:

17 Marc Harris
18 Assistant Attorney General
19 1275 West Washington
20 Phoenix, Arizona 85007

21 COPY of the foregoing mailed via
22 Certified mail no. 7057145000080888049
23 This 11th day of October, 2017, to:

24 Judith L. Pike
25 Address of Record
Respondent

1 **BEFORE THE ARIZONA BOARD**
2 **OF BEHAVIORAL HEALTH EXAMINERS**

3 **In the Matter of:**

4 **Judith L. Pike, LCSW-1535,**
5 **Licensed Clinical Social Worker,**
6 **In the State of Arizona.**

CASE NOS. 2015-0082
2016-0001

CONSENT AGREEMENT

7 **RESPONDENT**

8 In the interest of a prompt and speedy settlement of the above captioned matter,
9 consistent with the public interest, statutory requirements and responsibilities of the Arizona
10 State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F)
11 and 41-1092.07(F)(5), Judith L. Pike ("Respondent") and the Board enter into this Consent
12 Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final
13 disposition of this matter.

14 **RECITALS**

15 Respondent understands and agrees that:

- 16 1. Any record prepared in this matter, all investigative materials prepared or
17 received by the Board concerning the allegations, and all related materials and exhibits may be
18 retained in the Board's file pertaining to this matter.
- 19 2. Respondent has the right to a formal administrative hearing at which Respondent
20 can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably
21 waives their right to such formal hearing concerning these allegations and irrevocably waives
22 their right to any rehearing or judicial review relating to the allegations contained in this Consent
23 Agreement.
- 24 3. Respondent has the right to consult with an attorney prior to entering into this
25 Consent Agreement.

1 4. Respondent acknowledges and agrees that upon signing this Consent
2 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their
3 acceptance of this Consent Agreement or make any modifications to it. Any modification of this
4 original document is ineffective and void unless mutually approved by the parties in writing.

5 5. The findings contained in the Findings of Fact portion of this Consent Agreement
6 are conclusive evidence of the facts stated herein and may be used for purposes of determining
7 sanctions in any future disciplinary matter.

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9 only when the Board accepts it. In the event the Board in its discretion does not approve this
10 Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,
11 nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except
12 that Respondent agrees that should the Board reject this Consent Agreement and this case
13 proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its
14 review and discussion of this document or of any records relating thereto.

15 7. Respondent understands that once the Board approves and signs this Consent
16 Agreement, it is a public record that may be publicly disseminated as a formal action of the
17 Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

18 8. Respondent further understands that any violation of this Consent Agreement
19 constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in
20 disciplinary action pursuant to A.R.S. § 32-3281.

21 9. The Board therefore retains jurisdiction over Respondent and may initiate
22 disciplinary action against Respondent if it determines that they have failed to comply with the
23 terms of this Consent Agreement or of the practice act.

24 The Board issues the following Findings of Fact, Conclusions of Law and Order:

25 ...

FINDINGS OF FACT

1
2 1. Respondent is the holder of License No. LCSW-1535 for the practice of social
3 work in Arizona.

4 2016-0001

5 2. Respondent provided behavioral health services to a minor female client
6 ("Daughter") following a report by her mother ("Mother") to the Army Criminal Investigative
7 Division.

8 3. On 12/17/13, Respondent was notified of allegations of sexual abuse of Daughter
9 involving Daughter's father ("Father").

10 4. On 12/18/13, Respondent contacted the Department of Child Safety ("DCS") and
11 reported the allegations.

12 5. On 01/07/14, Respondent's clinical record for Daughter reflects that Respondent
13 provided additional information to DCS.

14 6. On at least 3 occasions following Respondent's last documented phone call to
15 DCS, Respondent received additional, explicit details from Mother and/or Daughter regarding
16 the sexual abuse allegations.

17 7. On 02/17/14, Respondent documented that Daughter was forensically
18 interviewed by police, but because she did not disclose enough, police declined to investigate
19 the matter.

20 8. Although Respondent may have initially reported the allegations of sexual abuse
21 at the onset of treatment, this does not appear to alleviate Respondent from continuing to report
22 when new or significant information is received.

23 9. Throughout the duration of treatment, Respondent acknowledges only having
24 one conversation with Father in 03/14.

25 10. On 04/09/15, Respondent sent a letter to Father and a judge associated with the

1 case indicating that it was not in Daughter's best interest to see Father even in a supervised
2 setting.

3 11. Respondent's letter appears problematic because:

- 4 a. There is no evidence to suggest that the judge requested information
5 from Respondent.
- 6 b. Respondent acknowledges having only one conversation with Father over
7 one year prior to authoring the letter.
- 8 c. Police had not found enough information to establish that Father
9 perpetrated the sexual abuse.
- 10 d. The letter contained disparaging and unsubstantiated statements about
11 Father.

12 2015-0082

13 12. Respondent provided individual and marital counseling to a husband ("Husband")
14 and wife ("Wife").

15 13. Wife filed a complaint with the Board alleging unprofessional conduct by
16 Respondent.

17 14. Upon receiving notification of the complaint, Respondent shared details of the
18 complaint with Husband, and Husband volunteered to write a letter to the Board on
19 Respondent's behalf.

20 15. Although Husband volunteered to write the letter, there was no therapeutic basis
21 for Respondent to share any details of her Board complaint with Husband.

22 CONCLUSIONS OF LAW

23 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.*
24 and the rules promulgated by the Board relating to Respondent's professional practice as a
25 licensed behavioral health professional.

1 2. The conduct and circumstances described in the Findings of Fact constitute a
2 violation of A.R.S. § 32-3251(15)(x), exploiting a client, former client or supervisee. For the
3 purposes of this subdivision, "exploiting" means taking advantage or a professional relationship
4 with a client, former client or supervisee for the benefit or profit of the licensee.

5 3. The conduct and circumstances described in the Findings of Fact constitute a
6 violation of A.R.S. § 32-3251(15)(l), any conduct, practice or condition that impairs the ability of
7 the licensee to safely and competently practice the licensee's profession.

8 4. The conduct and circumstances described in the Findings of Fact constitute a
9 violation of A.R.S. § 32-3251(15)(ii), violating any federal or state law, rule, or regulation
10 applicable to the practice of behavioral health as it relates to: A.R.S. § 13-3620, Duty to Report.

11 ORDER

12 Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to
13 the provisions and penalties imposed as follows:

14 1. Respondent's license, LCSW-1535, will be placed on probation, effective from
15 the date of entry as signed below.

16 2. Respondent shall not practice under their license, LCSW-1535, unless they are
17 fully compliant with all terms and conditions in this Consent Agreement. If, for any reason,
18 Respondent is unable to comply with the terms and conditions of this Consent Agreement, they
19 shall immediately notify the Board in writing and shall not practice under their license until they
20 submit a written request to the Board to re-commence compliance with this Consent
21 Agreement. All such requests shall be pre-approved by the Board Chair or designee.

22 3. In the event that Respondent is unable to comply with the terms and conditions
23 of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until
24 such time as they are granted approval to re-commence compliance with the Consent
25 Agreement.

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Continuing Education

4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education regarding mandated reporting. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of continuing education regarding family law. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall take and pass a three semester credit hour graduate level behavioral health ethics course from a regionally accredited college or university, pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit to the Board an official transcript establishing completion of the required course.

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Clinical Supervision

7. While on probation, Respondent shall submit to clinical supervision for 24 months by a masters or higher level behavioral health professional licensed at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the

1 results of an initial assessment and a supervision plan regarding the proposed supervision of
2 Respondent. The letter from the supervisor shall be submitted to the Board.

3 **Focus and Frequency of Clinical Supervision**

4 8. The focus of the supervision shall relate to documentation, countertransference,
5 bias, and military procedures vs NASW code of ethics. Respondent shall meet individually in
6 person with the supervisor monthly for a minimum of one hour.

7 **Reports**

8 9. Once approved, the supervisor shall submit quarterly reports for review and
9 approval by the Board Chair or designee. The quarterly reports shall include issues presented in
10 this Consent Agreement that need to be reported and the supervisor shall notify the Board if
11 more frequent supervision is needed. Quarterly reports shall include the following:

- 12 a. Dates of each clinical supervision session.
13 b. A comprehensive description of issues discussed during supervision
14 sessions.

15 10. All quarterly supervision reports shall include a copy of clinical supervision
16 documentation maintained for that quarter. All clinical supervision documentation maintained by
17 the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).

18 11. After Respondent's probationary period, the supervisor shall submit a final
19 summary report for review and approval by the Board Chair or designee. The final report shall
20 also contain a recommendation as to whether the Respondent should be released from this
21 Consent Agreement.

22 **Change of Clinical Supervisor During Probation**

23 12. If, during the period of Respondent's probation, the clinical supervisor determines
24 that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of
25 the end of supervision and provide the Board with an interim final report. Respondent shall

1 advise the Board Chair or designee within 30 days of cessation of clinical supervision by the
2 approved clinical supervisor and provide the name of a new proposed clinical supervisor. The
3 proposed clinical supervisor shall provide the same documentation to the Board as was required
4 of the initial clinical supervisor.

5 GENERAL PROVISIONS

6 Provision of Clinical Supervision

7 13. Respondent shall not provide clinical supervision while subject to this Consent
8 Agreement.

9 Civil Penalty

10 14. Subject to the provisions set forth in paragraph 15, the Board imposes a civil
11 penalty against the Respondent in the amount of \$1,000.00.

12 15. Respondent's payment of the civil penalty shall be stayed so long as Respondent
13 remains compliant with the terms of this Consent Agreement. If Board staff determines that
14 Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the
15 exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall
16 be automatically lifted and payment of the civil penalty shall be made by certified check or
17 money order payable to the Board within 30 days after being notified in writing of the lifting of
18 the stay.

19 16. Within 10 days of being notified of the lifting of the stay, Respondent may request
20 that the matter be reviewed by the Board for the limited purpose of determining whether the
21 automatic lifting of the stay was supported by clear and convincing evidence. If the Board
22 receives the written request within 10 days or less of the next regularly scheduled Board
23 meeting, the request will not be heard at that meeting, but will be heard at the next regularly
24 scheduled Board meeting. The Board's decision on this matter shall not be subject to further
25 review.

1 17. The Board reserves the right to take further disciplinary action against
2 Respondent for noncompliance with this Consent Agreement after affording Respondent notice
3 and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply
4 with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final
5 and the period of probation shall be extended until the matter is final.

6 18. If Respondent currently sees clients in their own private practice, and obtains any
7 other type of behavioral health position, either as an employee or independent contractor, where
8 they provide behavioral health services to clients of another individual or agency, they shall
9 comply with requirements set forth in paragraphs 19 through 21 below.

10 19. Within 10 days of the effective date of this Order, if Respondent is working in a
11 position where Respondent provides any type of behavioral health related services or works in a
12 setting where any type of behavioral health, health care, or social services are provided,
13 Respondent shall provide the Board Chair or designee with a signed statement from
14 Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this
15 Consent Agreement. If Respondent does not provide the employer's statement to the Board
16 within 10 days of the effective date, the Board will provide Respondent's employer(s) with a
17 copy of the Consent Agreement.

18 20. If Respondent is not employed as of the effective date of this Order, within 10
19 days of accepting employment in a position where Respondent provides any type of behavioral
20 health related services or in a setting where any type of behavioral health, health care, or social
21 services are provided, Respondent shall provide the Board Chair or designee with a written
22 statement providing the contact information of their new employer and a signed statement from
23 Respondent's new employer confirming Respondent provided the employer with a copy of this
24 Consent Agreement. If Respondent does not provide the employer's statement to the Board
25 within 10 days, as required, Respondent's failure to provide the required statement to the Board

1 shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's
2 employer(s) with a copy of the Consent Agreement.

3 21. If, during the period of Respondent's probation, Respondent changes
4 employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on
5 extended leave of absence for whatever reason that may impact their ability to timely comply
6 with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform
7 the Board of their change of employment status. After the change and within 10 days of
8 accepting employment in a position where Respondent provides any type of behavioral health
9 related services or in a setting where any type of behavioral health, health care, or social
10 services are provided, Respondent shall provide the Board Chair or designee a written
11 statement providing the contact information of their new employer(s) and a signed statement
12 from Respondent's new employer(s) confirming Respondent provided the employer(s) with a
13 copy of this Consent Agreement. If Respondent does not provide the employer's statement to
14 the Board within 10 days, as required, Respondent's failure to provide the required statement to
15 the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide
16 Respondent's employer(s) with a copy of the Consent Agreement.

17 22. Respondent shall practice behavioral health using the name under which they
18 are licensed. If Respondent changes their name, they shall advise the Board of the name
19 change as prescribed under the Board's regulations and rules.

20 23. Prior to the release of Respondent from probation, Respondent must submit a
21 written request to the Board for release from the terms of this Consent Agreement at least 30
22 days prior to the date they would like to have this matter appear before the Board. Respondent
23 may appear before the Board, either in person or telephonically. Respondent must provide
24 evidence that they have successfully satisfied all terms and conditions in this Consent
25 Agreement. The Board has the sole discretion to determine whether all terms and conditions of

1 this Consent Agreement have been met and whether Respondent has adequately demonstrated
2 that they have addressed the issues contained in this Consent Agreement. In the event that the
3 Board determines that any or all terms and conditions of this Consent Agreement have not been
4 met, the Board may conduct such further proceedings as it determines are appropriate to
5 address those matters.

6 24. Respondent shall bear all costs relating to probation terms required in this
7 Consent Agreement.

8 25. Respondent shall be responsible for ensuring that all documentation required in
9 this Consent Agreement is provided to the Board in a timely manner.

10 26. This Consent Agreement shall be effective on the date of entry below.

11 27. This Consent Agreement is conclusive evidence of the matters described herein
12 and may be considered by the Board in determining appropriate sanctions in the event a
13 subsequent violation occurs.

14 **PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

15 Judith L. Pike
16 Judith L. Pike

27 December 2016
Date

17 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

18 Dated this 3rd day of January, 2016¹⁷

19 By:

20 Tobi Zavala
21 TOBI ZAVALA, Executive Director
22 Arizona Board of Behavioral Health Examiners

23 ORIGINAL of the foregoing filed
24 This 3rd day of January, 2016 with:

25 Arizona Board of Behavioral Health Examiners
3443 N. Central Ave., Suite 1700
Phoenix, AZ 85012

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COPY of the foregoing mailed via Interagency Mail
This 3rd day of January, 2017, to:

Marc Harris
Assistant Attorney General
1275 West Washington
Phoenix, Arizona 85007

COPY of the foregoing mailed via
Certified mail no. 104120000000033897
This 3rd day of January, 2017, to:

Judith L. Pike
Address of Record
Respondent