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BEFORE THE ARIZONA BOARD

OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Dorothy R. Granberry, LAC-20539 Licensed Associate Counselor, In the State of Arizona.

RESPONDENT

CASE NOS. 2023-0093 2023-0235

CONSENT AGREEMENT FOR VOLUNTARY SURRENDER

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Dorothy R. Granberry ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

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otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

1. Respondent is the holder of License No. LAC-20539 for the practice of counseling in Arizona.

Complaint 2023-0093

- 1. From 05/22 11/22, Respondent worked at Agency as a contracted therapist providing behavioral services to clients.
- 2. On 11/21/22, Complainant emailed Respondent that Respondent's employment contract with Agency will end 12/10/22.
- 3. In this email, Complainant instructed Respondent to continue meeting with her clinical supervisor ("Supervisor") until all of her clients were terminated.
- 4. On 11/22/22, Respondent emailed Complainant that she was ending her employment contract effective immediately.
- Rather than attempting to coordinate any continuity of care or terminating services appropriately with her clients, Respondent abruptly left Agency with over 60 clients on her caseload.
- 6. Respondent represented the following during an investigative interview with Board staff:
 - Respondent offered to stay if she could have a different supervisor supervise her instead of Supervisor.

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- Respondent did not make any referrals or transfer any of her clients prior to her immediate departure.
- c. Respondent felt she was in compliance with her code of ethics regarding client abandonment since she attempted to find a new therapist to supervise her for her last few weeks at Agency.
- d. Respondent can understand how she abandoned clients but felt Complainant did not give her the opportunity to appropriately transition her clients.
- e. Respondent agrees it was inappropriate to not ensure continuity of care for clients, and if faced with a situation like this in the future she would ensure continuity of care.
- 7. Following Respondent's departure from Agency, Respondent and a former client ("Client") coordinated a one-time meeting so Respondent could meet Client's newborn child.
 - 8. Respondent represented the following during an investigative interview:
 - a. Client contacted Respondent through her Facebook.
 - Respondent wanted to give Client closure since Client had been through a lot and Client wanted to thank Respondent.
 - c. The meeting lasted roughly 15 minutes in a public setting.
 - d. Respondent held the newborn and Client took a picture of Respondent holding the infant.
 - Respondent did not see an issue with meeting with Client since she was not Respondent's active client.
 - f. Respondent does not consider Client a friend.
- 9. Licensee believed since Client was a former client it was appropriate to meet with her to provide closure, despite the ACA Code of Ethics explicitly prohibiting any sort of nonprofessional relationships with former clients.

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10. On 03/16/23, The Board entered into a Consent Agreement with Respondent regarding complaint 2023-0093.

Complaint 2023-0235

- 1. Since 12/22, Respondent has been employed at Agency as an independent contractor providing psychotherapy services.
- 2. From 12/22 – 05/23, Respondent provided behavioral health services to Wife and Husband in some capacity at Agency.
- 3. On 12/12/22, Wife signed an informed consent for therapy services which did not outline whether services would be individual or couples.
- 4. On 02/04/23, Husband signed an informed consent for therapy services which did not outline whether services would be individual or couples.
- 5. On 12/07/22, Respondent completed a treatment plan with Wife which did not indicate couples counseling as a goal or modality of therapy.
- 6. On 02/05/23, Respondent completed a treatment plan for Husband which included presenting problems reported by both Husband and Wife, but did not indicate couples counseling as a goal or modality of therapy.
- 7. From 12/07/22 - 02/04/23, Respondent provided behavioral services and maintained clinical records in Wife's file which included numerous sessions that involved Husband as a participant in the sessions.
- 8. During this timeframe, there was no record of any sort of couples counseling consent forms signed by both Wife and Husband or any sort of collateral form attesting to Husband's role in the therapy.
- 9. Despite the progress notes clearly outlining conversations with Wife and Husband about their marriage, Respondent maintained progress notes for Husband and Wife in separate clinical files for Husband and Wife individually.

- Respondent also developed an individual progress notes for both Husband and Wife for the same session, despite Husband and Wife having a single couples session with Respondent.
 Based on the records, it is unclear how Respondent established her therapeutic role or each client's role in the therapy.
- 12. Husband participated in Wife's therapy sessions for several months without any sort of signed documentation outlining his role in the therapy.
- 13. Respondent further completed separate treatment plans for Husband and Wife and maintained each treatment plan in Husband's and Wife's own clinical file.
- 14. Based on the clinical records and Husband and Wife signing their own informed consents, it appears Respondent established therapeutic care with both Husband and Wife individually as their individual therapist.
- 15. Respondent represented the following during Board staff's investigative interview:
 - a. Wife's therapy started as individual with Respondent and Wife did not express intent to start couples therapy.
 - b. Husband was allowed to sit in on Wife's therapy sessions before determining
 if he wanted to do couples therapy.
 - Respondent thought Husband signed informed consents or a collateral form prior to sitting in on Wife's sessions.
 - d. When Husband finally signed informed consents, he was consenting to couples therapy.
 - e. Respondent later indicated that prior to Husband signing his own informed consent in 02/23, he was engaging in couples therapy and his role was to sit in and listen during Wife's sessions.

- f. Husband's clinical records and progress notes should have dated back to 12/07/22 when Wife initiated services.
- g. Respondent completed Husband's intake session on 02/04/23.
- h. Respondent maintained individual notes and records because that is how Agency maintained records.
- i. For couples therapy, each spouse signs their own consent at Agency.
- j. In 04/23, Respondent saw Husband and Wife each individually for an individual session.
- 16. Respondent altered her representation by representing that Wife's therapy was individual initially, but then represented that Husband's involvement was meant to be for couples therapy.
- 17. The clinical records do not clearly define Husband's and Wife's role in the therapy.
- 18. Respondent also allowed Wife's niece to sit in on sessions which was not documented anywhere within the clinicals records, again blurring the roles of each participant.
- 19. Even though Respondent represents this is how Agency conducts couples therapy, even the billing records make it appear that both Husband and Wife were being billed individually for their own sessions.
- A 12/13/22 consent for treatment for Wife and 02/04/23 consent for treatment for Husband failed to include notification of Respondent's supervision.
- 21. Despite contradictory representations from Respondent regarding whether therapy services for Wife were meant to be individual or couples, on 12/15/22, informed consents were sent to Husband for his signature indicating there was an intention to treat Husband.

- 22. Respondent completed a treatment plan with Wife on 12/07/22, yet her informed consents were not signed until 12/13/22.
- 23. Husband's 02/05/23 treatment plan was missing the following minimum Board requirements:
 - a. The date when the client's treatment plan will be reviewed.
 - b. The dated signature of the client and there is no indicated place for client to sign and date.
- 24. Wife's 12/07/22 treatment plan failed to include the date the client's treatment plan will be reviewed.
- 25. From 12/07/22 01/28/23, Wife's billing records show she is being billed \$165 per session which included Husband's participation a majority of the time and sessions were at least 90 minutes in duration.
- 26. From 02/04/23 until the end of services, both Wife and Husband were being billed \$165 individually for the same session they participated in, and Respondent would document the sessions by splitting the time between two progress notes for Husband and Wife.
- 27. For example, on 02/18/23, Respondent documented the note in Wife's record for 11am 12pm, and Husband's note for 12pm 12:50pm despite them both being in session for this total duration.
- 28. After Husband signed informed consent documents, Wife and Husband began paying double the price for a single session when prior to 02/04/23 Wife and Husband were both in session together with Respondent and only being billed \$165 per session
- 29. After 02/04/23, Husband and Wife were both being billed \$165 per couple's session, meaning they were now paying \$330 for sessions they were previously paying \$165 for.

- 30. On 02/25/23, Wife is charged \$110 for the cancelled appointment but Husband is not charged anything.
- 31. Then on 04/22/23 Wife and Husband are both charged \$110 for a cancelled appointment meaning they were charged \$220 collectively for the cancelled couple's sessions.
- 32. It is unclear how these financial responsibilities for Wife and Husband were clearly laid out.
- 33. During the Board's review regarding complaint 2023-0235, after the members found Respondent in violation of unprofessional conduct, the Board members considered previous actions that resulted from complaint 2009-0003 and complaint 2013-0030.

CONCLUSIONS OF LAW

- The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq.
 and the rules promulgated by the Board relating to Respondent's professional practice as a
 licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(s), terminating behavioral health services to a client without making an appropriate referral for continuation of care for the client if continuing behavioral health services are indicated.
- 3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(k), engaging in any conduct or practice that is contrary to recognized standards of ethics in the behavioral health profession or that constitutes a danger to the health, welfare or safety of a client, as it relates to the following section of the ACA Code of Ethics:

A.6.e, Nonprofessional Interactions or Relationships:

Counselors avoid entering into nonprofessional relationships with former clients, their romantic partners, or their family members when

the interaction is potentially harmful to the client. This applies to both in-person and electronic interactions or relationships.

A.8. Multiple Clients

When a counselor agrees to provide counseling services to two or more persons who have a relationship, the counselor clarifies at the outset which person or persons are clients and the nature of the relationships the counselor will have with each involved person. If it becomes apparent that the counselor may be called upon to perform potentially conflicting roles, the counselor will clarify, adjust, or withdraw from roles appropriately.

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to the following:

A.A.C. R4-6-1101. Consent for Treatment

A.A.C. R4-6-1102. Treatment Plan

A.A.C. R4-6-1104. Financial and Billing Records

<u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusion of Law, the parties agree to the provision and penalties imposed as follows:

- Respondent's license, LAC-20539, shall be surrendered to the Board, effective from the date of entry as signed below.
 - 2. The surrender shall be considered a revocation of Respondent's license.

1 PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT Dorothy Ruth Granberry 2 Mar 5, 2024 Dorothy R. Granberry Date 3 4 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT** 5 Mar 5, 2024 By: 6 TOBI ZAVALA, Executive Director Date Arizona Board of Behavioral Health Examiners 7 8 **ORIGINAL** of the foregoing filed Mar 5, 2024 9 10 Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 11 Phoenix, AZ 85007 **EXECUTED COPY** of the foregoing sent electronically Mar 5, 2024 12 13 Mona Baskin **Assistant Attorney General** 14 2005 North Central Avenue Phoenix, AZ 85004 15 Dorothy R. Granberry 16 Address of Record Respondent 17 18 19 20 21 22 23 24