1	BEFORE THE AI	BEFORE THE ARIZONA BOARD	
2	OF BEHAVIORAL HI	EALTH EXAMINERS	
3	In the Matter of:		
1	Gigi M. Marteney, LPC-2540, Licensed Professional Counselor,	CASE NO. 2023-0208	
2	In the State of Arizona.	CONSENT AGREEMEN	
3	RESPONDENT		

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Gigi M. Marteney ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

AGREEMENT

RECITALS

Respondent understands and agrees that:

Any record prepared in this matter, all investigative materials prepared or 1. received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.

2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.

3. Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

4. Respondent acknowledges and agrees that upon signing this Consent
 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their
 acceptance of this Consent Agreement or make any modifications to it. Any modification of this
 original document is ineffective and void unless mutually approved by the parties in writing.

5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.

6. This Consent Agreement is subject to the Board's approval, and will be effective
only when the Board accepts it. In the event the Board in its discretion does not approve this
Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,
nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except
that Respondent agrees that should the Board reject this Consent Agreement and this case
proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its
review and discussion of this document or of any records relating thereto.

7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

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otherwise, about the views or intended actions of any other state agency or officer or political
 subdivision of the state relating to this matter or other matters concerning Respondent.

8. Respondent understands that once the Board approves and signs this Consent
Agreement, it is a public record that may be publicly disseminated as a formal action of the
Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

9. Respondent further understands that any violation of this Consent Agreement
constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in
disciplinary action pursuant to A.R.S. § 32-3281.

9 10. The Board therefore retains jurisdiction over Respondent and may initiate
10 disciplinary action against Respondent if it determines that they have failed to comply with the
11 terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

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FINDINGS OF FACT

1. Respondent is the holder of License No. LPC-2540 for the practice of counseling in the State of Arizona.

16 2. From 04/22 – 02/23, Respondent provided behavioral health services to Client at
17 Private Practice.

3. Respondent's informed consent did not include any section regarding court testimony or writing letters as a service Respondent offered.

20 4. Client's 04/04/22 assessment indicated the presenting problems as school
21 issues, being tired, and social anxiety.

5. Client's 10/14/22 treatment plan indicated goals around communication skills,
 anxiety issues, and depression issues.

6. In a 05/11/22 email from Respondent, she states Client appreciates the
 confidentiality of her sessions and that Respondent is not forensically trained.

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7. 1 In a 05/12/22 email from Respondent, she states she believes her notes are 2 general and would be useless for the courts, and she reassures she knows how to stay out of 3 court. 4 8. In a 05/12/22 email from Complainant, he states Client's therapy will be used for 5 its intended purposed and not for any court battles. 6 9. On 08/16/22, Respondent wrote a letter to CAA after CAA requested a letter 7 which included the following in part: 8 a. When Respondent began seeing Client, the frequency, Client's diagnoses, 9 and that the parents agreed to keep the sessions private from them and the courts. 10 b. Client is mature for her age and understands the importance of expressing 11 12 her feelings if interviewed by court-appointed advisors. 10. 13 An 11/10/22 note indicated that Mother and her attorney requested Respondent to testify in court the following day and Respondent agreed to help Client. 14 11. Respondent initially conveyed to Mother and Complainant she knows how to stay 15 out of the courts, yet completed and interview with CAA and testified in court. 16 17 12. Respondent represents Mother's attorney subpoenaed Respondent to testify in 18 court. 13. Despite Respondent documenting in an 11/10/22 note that she would be 19 testifying in court the following day, she failed to document her actual court testimony anywhere 20 within the clinical records. 21 14. Respondent represents she billed Complainant \$200 for testifying in court, yet 22 her informed consents do not list her fees for testifying in court. 23 15. Respondent acknowledged not having any sort of formal training on how to 24 handle subpoenas or court testimony and is not forensically trained. 25 -4-

1 16. Beyond Respondent extending her boundaries of competence regarding her 2 participation in court matters, she further practiced beyond the scope of her license by 3 acknowledging writing letters throughout her career such as letters to attorneys or schools for 4 children. 5 17. During the course of Client's treatment, Respondent provided recommendations 6 regarding medications for Client which is far beyond her scope of practice as a licensed 7 therapist. 18. 8 Specifically, Respondent made the following recommendations and statements 9 regarding medication for Client within emails and progress notes: a. Respondent is concerned about the delay in deciding on medication for Client 10 and Respondent, PNP, Mother, and Client recommend starting ADD 11 12 medication. 13 b. Respondent hopes to try ADHD medication to salvage Client's first semester in school. 14 c. Respondent believes stabilizing the situation is a priority before considering 15 medication. 16 17 d. Respondent supports PNP's recommendation for medication. e. Respondent does not give medication recommendation or advice as she is 18 not a doctor but supports PNP's recommendations. 19 19. During Board staff's investigative interview, Respondent represented she has 20 never recommended medication but supported what PNP recommended, but records show that 21 Respondent hoped Client would try medication and recommended starting medication. 22 20. Respondent made the following comments about Complainant throughout emails 23 and progress notes: 24 a. Complainant has made very ignorant statements about Client's medications. 25

1	b	. Respondent observes from Client's reports that Complainant plays the victim	
2		frequently.	
3	с	. Complainant is not Respondent's client, but he appears to have strong	
4		narcissist tendencies and possibly bipolar based on reports and interactions	
5		with Complainant.	
6	21. F	Respondent provided an informal diagnosis of Complainant when Complainant	
7	was never a clie	ent of Respondent's.	
8	22. A	04/04/22 Consent for Treatment appears to be missing the following Board's	
9	minimum requirements:		
10	а	. General procedures to be used in treatment, including benefits, limitations,	
11		and potential risks	
12	b	. Methods for the client to obtain information about the client's records	
13	с	. The client's right to participate in treatment decisions and in the development	
14		and periodic review and revision of the client's treatment plan	
15	d	. The client's right to refuse any recommended treatment or to withdraw	
16		consent to treatment and to be advised of the consequences of refusal or	
17		withdrawal	
18	23. A	Il of Respondent's progress notes failed to include her dated signature.	
19	24. F	Respondent's billing records included the following discrepancies:	
20	a	A Billing spreadsheet with the following discrepancies:	
21		• There were 13 billed services with no corroborating progress notes.	
22		• There were 5 progress notes with no corroborating billing records.	
23	b	. Numerous Explanation of Benefits included 3 billed services with no	
24		corroborating progress notes.	
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-6-

25. Despite Respondent documenting several conversations she had with various
 parties involved in Client's case and care, it appears she failed to document her court testimony
 as well as the conversation she alleges occurred with Complainant where she informed him of
 her fees to testify in court.

26. Despite Respondent documenting numerous conversations and exchanging emails with PNP, CAA, Mother's attorney, and Complainant's attorney about Client's treatment, Respondent failed to maintain any sort of signed releases of information within Client's clinical record authorizing Respondent to speak with them.

9 27. Respondent also represented that information was given to Respondent by
10 Mother and Complainant regarding their attorneys, which would indicate the parents requested
11 Respondent to be in contact with them.

28. Respondent has been independently licensed since 2004 and fails to ensure her documentation meets all minimum Board requirements.

29. In 2018, 2020, and 2022, Respondent submitted her LPC renewal applications attesting to the completion of the Arizona Statutes/Regulations Tutorial which provides education and training on Arizona clinical documentation standards.

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CONCLUSIONS OF LAW

1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.* and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.

2. The conduct and circumstances described in the Findings of Fact constitute a
 violation of A.R.S. § 32-3251(16)(m), engaging or offering to engage as a licensee in activities
 that are not congruent with the licensee's professional education, training or experience.

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1 3. The conduct and circumstances described in the Findings of Fact constitute a 2 violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as 3 developed by the board as it relates to: 4 A.A.C. R4-6-1101. Consent for Treatment 5 A.A.C. R4-6-1103. Client Records 6 A.A.C. R4-6-1104. Financial and Billing Records 7 A.A.C. R4-6-1105. Confidentiality 8 ORDER 9 Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows: 10 11 1. Respondent's license, LPC-2540, will be placed on probation for 24 months, 12 effective from the date of entry as signed below. 2. 13 Respondent shall not practice under their license, LPC-2540, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, 14 Respondent is unable to comply with the terms and conditions of this Consent Agreement, they 15 shall immediately notify the Board in writing and shall not practice under their license until they 16 17 submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee. 18 3. In the event that Respondent is unable to comply with the terms and conditions 19 of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until 20 such time as they are granted approval to re-commence compliance with the Consent 21 Agreement. 22 23 . . . 24 . . . 25 . . . -8-

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Continuing Education

4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of continuing education addressing behavioral health ethics to include scope of practice 5 and decision-making model. All required continuing education shall be pre-approved by the 6 Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

5. 8 In addition to the continuing education requirements of A.R.S. § 32-3273, within 9 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing fraud and abuse. All required continuing education 10 shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall 11 submit a certificate of completion of the required continuing education. 12

6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing high-conflict cases. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

7. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing cultural competency as it relates to blended families. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

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25 . . . 8. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing how to handle subpoenas. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

9. In addition to the continuing education requirements of A.R.S. § 32-3273, within
12 months of the effective date of this Consent Agreement, Respondent shall complete 3 hours
of attendance at a Board meeting. All required continuing education shall be pre-approved by
the Board Chair or designee. Upon completion, Respondent shall submit a certificate of
completion of the required continuing education.

Clinical Supervision

10. While on probation, Respondent shall submit to clinical supervision for 24 months by a masters or higher level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

Focus and Frequency of Clinical Supervision

11. The focus of the supervision shall relate to the Board's statutes and rules
specifically noting what is referred to, Arizona documentation, cultural competency, and scope
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of practice. Respondent shall meet individually in person with the supervisor for a minimum of
 one hour once a month for the first 12 months. After the first 12 months, clinical supervision
 shall be at the recommendation of the clinical supervisor, with the possibility of early release.

<u>Reports</u>

12. Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:

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a. Dates of each clinical supervision session.

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 A comprehensive description of issues discussed during supervision sessions.

13. All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).

14. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

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Change of Clinical Supervisor During Probation

15. If, during the period of Respondent's probation, the clinical supervisor determines
that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of
the end of supervision and provide the Board with an interim final report. Respondent shall
advise the Board Chair or designee within 30 days of cessation of clinical supervision by the
approved clinical supervisor and provide the name of a new proposed clinical supervisor. The

1 proposed clinical supervisor shall provide the same documentation to the Board as was required 2 of the initial clinical supervisor.

Early Release

16. After completion of the stipulations set forth in this Consent Agreement, and upon the supervisor's recommendation, Respondent may request early release from the Consent Agreement after 12 months.

GENERAL PROVISIONS

Provision of Clinical Supervision

17. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent 10 Agreement.

Civil Penalty

18. Subject to the provisions set forth in paragraph 19, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.

19. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.

20. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board

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receives the written request within 10 days or less of the next regularly scheduled Board
meeting, the request will not be heard at that meeting, but will be heard at the next regularly
scheduled Board meeting. The Board's decision on this matter shall not be subject to further
review.

21. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.

22. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 23 through 25 below.

23. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

2 24. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social

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services are provided, Respondent shall provide the Board Chair or designee with a written
statement providing the contact information of their new employer and a signed statement from
Respondent's new employer confirming Respondent provided the employer with a copy of this
Consent Agreement. If Respondent does not provide the employer's statement to the Board
within 10 days, as required, Respondent's failure to provide the required statement to the Board
shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's
employer(s) with a copy of the Consent Agreement.

8 25. If, during the period of Respondent's probation, Respondent changes 9 employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply 10 with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform 11 the Board of their change of employment status. After the change and within 10 days of 12 accepting employment in a position where Respondent provides any type of behavioral health 13 related services or in a setting where any type of behavioral health, health care, or social 14 services are provided, Respondent shall provide the Board Chair or designee a written 15 statement providing the contact information of their new employer(s) and a signed statement 16 17 from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to 18 the Board within 10 days, as required, Respondent's failure to provide the required statement to 19 20 the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement. 21

22 26. Respondent shall practice behavioral health using the name under which they
23 are licensed. If Respondent changes their name, they shall advise the Board of the name
24 change as prescribed under the Board's regulations and rules.

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27. 1 Prior to the release of Respondent from probation, Respondent must submit a 2 written request to the Board for release from the terms of this Consent Agreement at least 30 3 days prior to the date they would like to have this matter appear before the Board. Respondent 4 may appear before the Board, either in person or telephonically. Respondent must provide 5 evidence that they have successfully satisfied all terms and conditions in this Consent 6 Agreement. The Board has the sole discretion to determine whether all terms and conditions of 7 this Consent Agreement have been met and whether Respondent has adequately demonstrated 8 that they have addressed the issues contained in this Consent Agreement. In the event that the 9 Board determines that any or all terms and conditions of this Consent Agreement have not been met, the Board may conduct such further proceedings as it determines are appropriate to 10 address those matters. 11

28. Respondent shall bear all costs relating to probation terms required in this Consent Agreement.

29. Respondent shall be responsible for ensuring that all documentation required in this Consent Agreement is provided to the Board in a timely manner.

30. This Consent Agreement shall be effective on the date of entry below.

31. This Consent Agreement is conclusive evidence of the matters described herein and may be considered by the Board in determining appropriate sanctions in the event a subsequent violation occurs.

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PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

Gigi M Marteney, M.S., L.P.C. gi M Marteney, M.S., L.P.C. (Mar 14, 2024 13:37 PDT)

Gigi M. Marteney

Mar 14, 2024 Date

	BOARD ACCEPTS, SIGNS AND DATES TH	IS CONSENT AGREEMENT			
Bv [.]	Mpli Zanala	Mar 14, 2024			
	TOBI ZAVALA, Executive Director	Date			
	Arizona Board of Behavioral Health Examiners				
ORIGINAL of the foregoing filed Mar 14, 2024 with:					
Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix AZ 85007					
to:					
Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004					
			Address of Record		
Wicker Smith O'Hara McCoy & Ford, P.A. One N. Central Ave, Suite 860 Phoenix, AZ 85004					
			Attorney for Respondent		
	-16-				
	with: Arizon: 1740 V Phoen EXECI to: Mona I Assista 2005 N Phoen Gigi M Addres Respo Mandi Wicker One N Phoen	By: TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Examiners ORIGINAL of the foregoing filed Mar 14, 2024 with: Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007 EXECUTED COPY of the foregoing sent electronically to: Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004 Gigi M. Marteney Address of Record Respondent Mandi Karvis Wicker Smith O'Hara McCoy & Ford, P.A. One N. Central Ave, Suite 860 Phoenix, AZ 85004 Attorney for Respondent			