1	BEFORE THE ARIZONA BOARD	
2	OF BEHAVIORAL HEALTH EXAMINERS	
3	In the Matter of:	
4	Brierra M. Winfrey, LMSW-20899, Licensed Master Social Worker,	CASE NO. 2023-0197
5	In the State of Arizona.	CONSENT AGREEMENT
6	RESPONDENT	

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Brierra M. Winfrey ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

Respondent understands and agrees that:

1. Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.

2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.

3. Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

4. Respondent acknowledges and agrees that upon signing this Consent
 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their
 acceptance of this Consent Agreement or make any modifications to it. Any modification of this
 original document is ineffective and void unless mutually approved by the parties in writing.

5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.

6. This Consent Agreement is subject to the Board's approval, and will be effective
only when the Board accepts it. In the event the Board in its discretion does not approve this
Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,
nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except
that Respondent agrees that should the Board reject this Consent Agreement and this case
proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its
review and discussion of this document or of any records relating thereto.

7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

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otherwise, about the views or intended actions of any other state agency or officer or political
 subdivision of the state relating to this matter or other matters concerning Respondent.

8. Respondent understands that once the Board approves and signs this Consent
Agreement, it is a public record that may be publicly disseminated as a formal action of the
Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

9. Respondent further understands that any violation of this Consent Agreement
constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in
disciplinary action pursuant to A.R.S. § 32-3281.

9 10. The Board therefore retains jurisdiction over Respondent and may initiate
10 disciplinary action against Respondent if it determines that they have failed to comply with the
11 terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

1. 1. Respondent is the holder of License No. LMSW-20899 for the practice of social work in the State of Arizona.

16 2. From 05/21 – 03/23, Respondent worked at Agency as a Therapist providing
 17 direct behavioral health services to clients in a school setting.

3. Around 02/28/23, Agency realized a pattern of Respondent not meeting with
clients as expected.

4. Around the end of 01/23, Respondent acknowledged discontinuing providing
behavioral health services to students at Agency as required by her job duties.

5. Despite Respondent's acknowledgement that she stopped completing her job
duties at the end of 01/23, she continued to be paid as a salaried employee until her termination
on 03/14/23.

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This means Respondent was simply not going to work or completing any of her
 duties as a therapist such as providing behavioral health services to students, yet continued to
 collect compensation from Agency as if she was completing her required job duties for over a
 full month.

7. During the investigative interview, Respondent acknowledged that this was fraudulent in nature since she was not coming to work yet was still being paid as if she was.

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8. Respondent conducted herself in a dishonest and deceptive manner by collecting
payment for work she was in fact not completing.

9 9. Agency leadership attempted to speak with Respondent about these concerns
and scheduled at least 3 meetings during the first week of 03/23, but Respondent failed to
attend any of the meetings.

12 10. On 03/07/23, Agency sent Respondent a letter requesting a response by 13 03/14/23, but Respondent failed to come to work or call off for her scheduled shift.

11. Respondent represented the following regarding the allegations:

- Around the end of 01/23, Respondent stopped providing services to students at Agency.
 - Respondent and her daughter became very ill and she stopped going to work since that was her priority.
 - c. Respondent believes she called one of the students to inform them she would be cancelling their appointment but did not document it within the clinical record.
- Respondent acknowledged not taking any steps to ensure continuity of care for her clients or provide any sort of referrals.
 - Respondent acknowledged not communicating with management about her illness or absence from work.

-4-

1 12. Once Respondent became ill she simply stopped going to work and never communicated with her supervisors about anything.

3 13. Agency's separation of employment policy included a section that requires 4 employees to provide at least a two weeks' notice of their departure and that professionals in a 5 clinical setting are required to complete case transfers and chart closures.

14. 6 Respondent in fact abandoned at least 18 clients on her caseload abruptly 7 without taking any steps whatsoever to ensure continuity of care for clients.

15. 8 Respondent represented she contacted one of her clients to cancel their 9 appointment but did not document it since she believed was going to be terminated anyway.

16. Respondent disregarded her clients and continuity of care for clients without 10 giving any effort to abide by her ethical obligation as a licensed professional. 11

12 17. In 10/23, Respondent obtained new employment and failed to update her 13 employment with the Board as required by board rules.

18. Board staff only became aware of Respondent's new employment during the 14 investigative process regarding a Board complaint. 15

19. Respondent had employment for over three months and did not update her employment with the Board.

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CONCLUSIONS OF LAW

1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq. and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.

2. The conduct and circumstances described in the Findings of Fact constitute a 22 violation of A.R.S. § 32-3251(16)(k), engaging in any conduct or practice that is contrary to 23 recognized standards of ethics in the behavioral health profession or that constitutes a danger 24

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1 to the health, welfare, or safety of a client, as it relates to the following section of the NASW 2 Code of Ethics:

4.04 Dishonesty, Fraud, and Deception

Social workers should not participate in, condone, or be associate with dishonesty, fraud, or deception.

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(s), terminating behavioral health services to a client without making an appropriate referral for continuation of care for the client if continuing behavioral health services are indicated.

4. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(ii), violating any federal or state law, rule or regulation applicable to the practice of behavioral health, as it relates to:

A.A.C. R4-6-205. Change of Contact Information

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

1. Respondent's license, LMSW-20899, will be placed on probation for 24 months, effective from the date of entry as signed below.

2. Respondent shall not practice under their license, LMSW-20899 unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.

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3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

Practice Restriction

4. While on probation, if Respondent engages in the practice of behavioral health, a practice restriction shall be in place for no participation in supervised private practice.

Continuing Education

5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall take and pass a three-semester credit hour graduate level behavioral health ethics course from a regionally accredited college or university, pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit to the Board an official transcript establishing completion of the required course.

6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in Arizona documentation. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

7. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in professional conduct. All required continuing education shall be 22 pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education. 24

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8. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in continuity of care. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

9. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in trauma of abandonment. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

10. In addition to the continuing education requirements of A.R.S. § 32-3273, within 24 months of the effective date of this Consent Agreement, Respondent shall complete 5 clock hours of continuing education in attendance of a Board meeting. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

11. In addition to the continuing education requirements of A.R.S. § 32-3273, within 24 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in fraud and abuse. All required continuing education shall be preapproved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

Clinical Supervision

12. While on probation, Respondent shall submit to clinical supervision for 24 months by a masters or higher-level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this

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Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

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Focus and Frequency of Clinical Supervision

The focus of the supervision shall relate to fraud and abuse, Board statutes and rules, preparation for life events, care for caseload, professional conduct, and continuity of care.
 Respondent shall meet individually with the supervisor twice monthly from the pre-approved independently licensed behavioral health professional.

Reports

14. Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:

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- a. Dates of each clinical supervision session.
- b. A comprehensive description of issues discussed during supervision sessions.

15. All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).

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16. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

Change of Clinical Supervisor During Probation

17. If, during the period of Respondent's probation, the clinical supervisor determines that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of the end of supervision and provide the Board with an interim final report. Respondent shall advise the Board Chair or designee within 30 days of cessation of clinical supervision by the approved clinical supervisor and provide the name of a new proposed clinical supervisor. The proposed clinical supervisor shall provide the same documentation to the Board as was required of the initial clinical supervisor.

<u>Therapy</u>

18. During the period of probation, Respondent shall attend therapy for 24 months with a masters or higher-level behavioral health professional licensed at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of their therapist and the therapist's curriculum vitae for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the therapist shall submit a letter addressing why they should be approved, acknowledging that they have reviewed the Consent Agreement and include the results of an initial assessment and a treatment plan regarding the proposed treatment of Respondent.

19. Upon approval, the Board will provide the therapist with copies of any required
evaluations completed at the request of the Board prior to this Consent Agreement and the
Board's investigative report.

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Focus and Frequency of Therapy

20. The focus of the therapy shall relate to assessment, self-care, past issues, burnout, and maternal mental health and trauma. Respondent shall meet with the therapist for the first year at the recommendation of the therapist, but no less than monthly. The frequency for the remaining time will be at the recommendation of the therapist with early release available.

<u>Reports</u>

21. Once approved, the therapist shall submit quarterly reports and a final summary report to the Board for review and approval. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the therapist shall notify the Board if more frequent therapy is needed. The reports shall address Respondent's current mental health status, medications prescribed, if any, treatment recommendation, and shall report if, in their professional opinion, Respondent becomes unable to practice psychotherapy safely and competently. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

Change of Therapist

22. In the event that, during the period of Respondent's probation, Respondent's Board-approved therapist discontinues treatment, Respondent shall submit the name of a new therapist or and the therapist's curriculum vitae for pre-approval by the Board Chair or designee within 30 days of the discontinued treatment. Also within 30 days of the discontinued treatment, the proposed therapist shall submit a letter addressing why they should be approved, acknowledging that they have reviewed the Consent Agreement, and include the results of an initial assessment and a treatment plan regarding the proposed treatment of Respondent.

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GENERAL PROVISIONS

Provision of Clinical Supervision

23. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent Agreement.

Civil Penalty

24. Subject to the provisions set forth in paragraph 25, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.

25. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that 10 Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall 12 13 be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of 14 the stay. 15

26. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.

27. The Board reserves the right to take further disciplinary action against 23 Respondent for noncompliance with this Consent Agreement after affording Respondent notice 24 25 . . .

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and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply
with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final
and the period of probation shall be extended until the matter is final.

28. If Respondent currently sees clients in their own private practice, and obtains any
other type of behavioral health position, either as an employee or independent contractor, where
they provide behavioral health services to clients of another individual or agency, they shall
comply with requirements set forth in paragraphs 29 through 31 below.

8 29. Within 10 days of the effective date of this Order, if Respondent is working in a 9 position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, 10 Respondent shall provide the Board Chair or designee with a signed statement from 11 Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this 12 Consent Agreement. If Respondent does not provide the employer's statement to the Board 13 within 10 days of the effective date, the Board will provide Respondent's employer(s) with a 14 copy of the Consent Agreement. 15

30. If Respondent is not employed as of the effective date of this Order, within 10 16 17 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social 18 services are provided, Respondent shall provide the Board Chair or designee with a written 19 20 statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this 21 Consent Agreement. If Respondent does not provide the employer's statement to the Board 22 within 10 days, as required, Respondent's failure to provide the required statement to the Board 23 shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's 24 employer(s) with a copy of the Consent Agreement. 25

If, during the period of Respondent's probation, Respondent changes 1 31. 2 employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on 3 extended leave of absence for whatever reason that may impact their ability to timely comply 4 with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform 5 the Board of their change of employment status. After the change and within 10 days of 6 accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social 7 services are provided, Respondent shall provide the Board Chair or designee a written 8 9 statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a 10 copy of this Consent Agreement. If Respondent does not provide the employer's statement to 11 the Board within 10 days, as required, Respondent's failure to provide the required statement to 12 the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide 13 Respondent's employer(s) with a copy of the Consent Agreement. 14

32. Respondent shall practice behavioral health using the name under which they 15 are licensed. If Respondent changes their name, they shall advise the Board of the name 16 17 change as prescribed under the Board's regulations and rules.

33. Prior to the release of Respondent from probation, Respondent must submit a 18 written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent 20 may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent 22 Agreement. The Board has the sole discretion to determine whether all terms and conditions of 23 this Consent Agreement have been met and whether Respondent has adequately demonstrated

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1 that they have addressed the issues contained in this Consent Agreement. In the event that the 2 Board determines that any or all terms and conditions of this Consent Agreement have not been 3 met, the Board may conduct such further proceedings as it determines are appropriate to 4 address those matters.

5 34. Respondent shall bear all costs relating to probation terms required in this 6 Consent Agreement.

7 35. Respondent shall be responsible for ensuring that all documentation required in 8 this Consent Agreement is provided to the Board in a timely manner.

> 36. This Consent Agreement shall be effective on the date of entry below.

37. This Consent Agreement is conclusive evidence of the matters described herein 10 and may be considered by the Board in determining appropriate sanctions in the event a 11 12 subsequent violation occurs.

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PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

Brierra Winfrey

Brierra M. Winfrey

Mar 7, 2024

BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT

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Mar 8, 2024

TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Examiners

Date

Date

Mar 8, 2024 **ORIGINAL** of the foregoing filed with: Arizona Board of Behavioral Health Examiners

1740 West Adams Street, Suite 3600

24 Phoenix, AZ 85007

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10: Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004 Brierra M. Winfrey Address of Record Respondent 10 11 12 13 14 15 16 17 18 19 11 12 13 14 15 16 17 18 19 12 13 14 15 16 17 18 19 19 111 112 113 114 115 115 126 127 128 129 121 122 122 123 124 12	1	Mar 8, 2024 EXECUTED COPY of the foregoing sent electronically
Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004 Brierra M. Winfrey Address of Record Respondent 10 11 12 13 14 15 16 17 18 19 11 12 13 14 15 16 17 18 19 12 13 14 15 16 17 18 19 12 13 14 15 16 17 18 19 12 12 13 14 15 16 17 18 19 19	2	
2005 North Central Avenue Phoenix, AZ 85004 Brierra M. Winfrey Address of Record Respondent 7 8 9 10 11 12 13 14 15 16 17 18 19 19 10 11 12 13 14 15 16 17 18 19 19 12 13 14 15 16 17 18 19 12 12 13 14 15 16 17 18 19 12 12 13 14 15 16		
6 Respondent 7	4	2005 North Central Avenue
6 Respondent 7	5	Brierra M. Winfrey
8 9 10 11 11 12 12 13 14 15 15 16 17 18 19 20 21 21 22 23 23 24 25 11	6	Respondent
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