

1 4. Respondent acknowledges and agrees that upon signing this Consent
2 Agreement and returning it to the Board's Executive Director, Respondent may not revoke his
3 acceptance of this Consent Agreement or make any modifications to it. Any modification of this
4 original document is ineffective and void unless mutually approved by the parties in writing.

5 5. The findings contained in the Findings of Fact portion of this Consent Agreement
6 are conclusive evidence of the facts stated herein and may be used for purposes of determining
7 sanctions in any future disciplinary matter.

8 6. This Consent Agreement is subject to the Board's approval, and will be effective
9 only when the Board accepts it. In the event the Board in its discretion does not approve this
10 Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,
11 nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except
12 that Respondent agrees that should the Board reject this Consent Agreement and this case
13 proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its
14 review and discussion of this document or of any records relating thereto.

15 7. Respondent understands that once the Board approves and signs this Consent
16 Agreement, it is a public record that may be publicly disseminated as a formal action of the
17 Board, and that it shall be reported as required by law to the National Practitioner Data Bank
18 and the Healthcare Integrity and Protection Data Bank.

19 8. Respondent further understands that any violation of this Consent Agreement
20 constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(15)(n) and may result in
21 disciplinary action pursuant to A.R.S. § 32-3281.

22 9. The Board therefore retains jurisdiction over Respondent and may initiate
23 disciplinary action against Respondent if it determines that he has failed to comply with the
24 terms of this Consent Agreement or of the practice act.

25 The Board issues the following Findings of Fact, Conclusions of Law and Order:

1 FINDINGS OF FACT

2 1. Respondent is the holder of License No. LMSW-11963 for the practice of social
3 work in Arizona.

4 2. Respondent provided group counseling services through an Agency.

5 3. Respondent fell behind in completing clinical documentation.

6 4. Respondent's Supervisor gave him several months to complete past due clinical
7 documentation.

8 5. Respondent and Supervisor established an agreed upon deadline for
9 Respondent to submit past due documentation.

10 6. Respondent failed to complete the past due documentation by the established
11 deadline.

12 CONCLUSIONS OF LAW

13 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.*
14 and the rules promulgated by the Board relating to Respondent's professional practice as a
15 licensed behavioral health professional.

16 2. The conduct and circumstances described in the Findings of Fact constitute a
17 violation of A.R.S. § 32-3251(12)(q), failing or refusing to maintain adequate records of
18 behavioral health services provided to a client.

19 ORDER

20 Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to
21 the provisions and penalties imposed as follows:

22 Stayed Suspension

23 1. As of the effective date of this Consent Agreement, Respondent's license,
24 LMSW-11963, shall be **SUSPENDED** for 12 months. However, the suspension shall be stayed
25 and Respondent's license shall be placed on probation.

1 include the results of an initial assessment and a supervision plan regarding the proposed
2 supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

3 **Focus and Frequency of Clinical Supervision**

4 12. The focus of the supervision shall relate to ethics and documentation.
5 Respondent shall meet individually in person with the supervisor twice monthly for a minimum of
6 one hour.

7 **Reports**

8 13. Once approved, the supervisor shall submit quarterly reports for review and
9 approval by the Board Chair or designee. The quarterly reports shall include issues presented in
10 this Consent Agreement that need to be reported and the supervisor shall notify the Board if
11 more frequent supervision is needed. Quarterly reports shall include the following:

- 12 a. Dates of each clinical supervision session.
13 b. A comprehensive description of issues discussed during supervision
14 sessions.

15 14. All quarterly supervision reports shall include a copy of clinical supervision
16 documentation maintained for that quarter. All clinical supervision documentation maintained by
17 the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(F)(4).

18 15. After Respondent's probationary period, the supervisor shall submit a final
19 summary report for review and approval by the Board Chair or designee. The final report shall
20 also contain a recommendation as to whether the Respondent should be released from this
21 Consent Agreement.

22 **Change of Clinical Supervisor During Probation**

23 16. If, during the period of Respondent's probation, the clinical supervisor determines
24 that he/she cannot continue as the clinical supervisor, he/she shall notify the Board within 10
25 days of the end of supervision and provide the Board with an interim final report. Respondent

1 shall advise the Board Chair or designee within 30 days of cessation of clinical supervision by
2 the approved clinical supervisor and provide the name of a new proposed clinical supervisor.
3 The proposed clinical supervisor shall provide the same documentation to the Board as was
4 required of the initial clinical supervisor.

5 **GENERAL PROVISIONS**

6 **Provision of Clinical Supervision**

7 17. Respondent shall not provide clinical supervision while subject to this Consent
8 Agreement.

9 **Civil Penalty**

10 18. Subject to the provisions set forth in paragraph 19, the Board imposes a civil
11 penalty against the Respondent in the amount of \$1,000.00.

12 19. Respondent's payment of the civil penalty shall be stayed so long as Respondent
13 remains compliant with the terms of this Consent Agreement. If Board staff determines that
14 Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the
15 exception of the tolling provision under Paragraph 8, the stay of the civil penalty payment shall
16 be automatically lifted and payment of the civil penalty shall be made by certified check or
17 money order payable to the Board within 30 days after being notified in writing of the lifting of
18 the stay.

19 20. Within 10 days of being notified of the lifting of the stay, Respondent may request
20 that the matter be reviewed by the Board for the limited purpose of determining whether the
21 automatic lifting of the stay was supported by substantial evidence. If the Board receives the
22 written request within 10 days or less of the next regularly scheduled Board meeting, the
23 request will not be heard at that meeting, but will be heard at the next regularly scheduled Board
24 meeting. The Board's decision on this matter shall not be subject to further review.

25 ...

1 21. The Board reserves the right to take further disciplinary action against
2 Respondent for noncompliance with this Consent Agreement after affording Respondent notice
3 and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply
4 with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final
5 and the period of probation shall be extended until the matter is final.

6 22. If Respondent currently sees clients in their own private practice, and obtains any
7 other type of behavioral health position, either as an employee or independent contractor, where
8 he provides behavioral health services to clients of another individual or agency, he shall
9 comply with requirements set forth in Paragraphs 23 through 25 below.

10 23. Within 10 days of the effective date of this Order, if Respondent is working in a
11 position where Respondent provides any type of behavioral health related services or works in a
12 setting where any type of behavioral health, health care, or social services are provided,
13 Respondent shall provide the Board Chair or designee with a signed statement from
14 Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this
15 Consent Agreement. If Respondent does not provide the employer's statement to the Board
16 within 10 days of the effective date, the Board will provide Respondent's employer(s) with a
17 copy of the Consent Agreement.

18 24. If Respondent is not employed as of the effective date of this Order, within 10
19 days of accepting employment in a position where Respondent provides any type of behavioral
20 health related services or in a setting where any type of behavioral health, health care, or social
21 services are provided, Respondent shall provide the Board Chair or designee with a written
22 statement providing the contact information of his new employer and a signed statement from
23 Respondent's new employer confirming Respondent provided the employer with a copy of this
24 Consent Agreement. If Respondent does not provide the employer's statement to the Board
25 within 10 days, as required, Respondent's failure to provide the required statement to the Board

1 shall be deemed a violation of A.R.S. § 32-3251(15)(n) and the Board will provide Respondent's
2 employer(s) with a copy of the Consent Agreement.

3 25. If, during the period of Respondent's probation, Respondent changes
4 employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on
5 extended leave of absence for whatever reason that may impact his ability to timely comply with
6 the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the
7 Board of his change of employment status. After the change and within 10 days of accepting
8 employment in a position where Respondent provides any type of behavioral health related
9 services or in a setting where any type of behavioral health, health care, or social services are
10 provided, Respondent shall provide the Board Chair or designee a written statement providing
11 the contact information of his new employer(s) and a signed statement from Respondent's new
12 employer(s) confirming Respondent provided the employer(s) with a copy of this Consent
13 Agreement. If Respondent does not provide the employer's statement to the Board within 10
14 days, as required, Respondent's failure to provide the required statement to the Board shall be
15 deemed a violation of A.R.S. § 32-3251(15)(n) and the Board will provide Respondent's
16 employer(s) with a copy of the Consent Agreement.

17 26. Respondent shall practice behavioral health using the name under which he is
18 licensed. If Respondent changes his name, he shall advise the Board of the name change as
19 prescribed under the Board's regulations and rules.

20 27. Prior to the release of Respondent from probation, Respondent must submit a
21 written request to the Board for release from the terms of this Consent Agreement at least 30
22 days prior to the date he would like to have this matter appear before the Board. Respondent
23 may appear before the Board, either in person or telephonically. Respondent must provide
24 evidence that he has successfully satisfied all terms and conditions in this Consent Agreement.
25 The Board has the sole discretion to determine whether all terms and conditions of this Consent

1 Agreement have been met and whether Respondent has adequately demonstrated that he has
2 addressed the issues contained in this Consent Agreement. In the event that the Board
3 determines that any or all terms and conditions of this Consent Agreement have not been met,
4 the Board may conduct such further proceedings as it determines are appropriate to address
5 those matters.

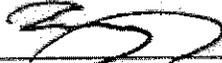
6 28. Respondent shall bear all costs relating to probation terms required in this
7 Consent Agreement.

8 29. Respondent shall be responsible for ensuring that all documentation required in
9 this Consent Agreement is provided to the Board in a timely manner.

10 30. This Consent Agreement shall be effective on the date of entry below.

11 31. This Consent Agreement is conclusive evidence of the matters described herein
12 and may be considered by the Board in determining appropriate sanctions in the event a
13 subsequent violation occurs.

14 **PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

15 
16 _____
Patrick A. Wood

15 4/6/15
16 _____
Date

17 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

18 Dated this 9th day of April, 2015.

19 By: _____

20 
21 TOBI ZAVALA, Executive Director
22 Arizona Board of Behavioral Health Examiners

23 ORIGINAL of the foregoing filed

24 This 9th day of April, 2015 with:

25 Arizona Board of Behavioral Health Examiners

1 3443 N. Central Ave., Suite 1700
Phoenix, AZ 85012

2
3 **COPY** of the foregoing mailed via Interagency Mail
This 9th day of April, 2015, to:

4 Marc Harris
5 Assistant Attorney General
6 1275 West Washington
Phoenix, Arizona 85007

7 **COPY** of the foregoing mailed via
8 Certified mail no. 70122920000177948832
This 9th day of April, 2015, to:

9 Patrick A. Wood
10 Address of Record
11 Respondent

12
13
14
15
16
17
18
19
20
21
22
23
24
25